September 9, 2020 5:04 PM

Five essential tasks in software engineering:

Specification/Requirements:

- Specification is a description of a software system to be developed
  - Functional: use cases, interactions the software must provide
    - $\circ$  non-functional
- Requirement

Design:

- Different aspects
- Guides the development team in building a software product

Implementation:

- Converting the design into an executable system
- Must address some fundamental principles (requirements)
- Verification & Validation
  - More than testing
  - Make sure that a system conforms to the specification and meets the requirements
  - Verification: does the software meet the specification?
  - Validation: does the specification capture the customer's needs? Is it what the customer wants?

Maintenance & Evolution (most of the time)

- Modification of a software product after delivery
- Four main types:
  - Corrective: fixing errors
  - Perfective: implementing new/changed user requirements
  - $\circ~$  Adaptive: modifying the system to cope with changes in environment
  - Preventive: increasing maintainability or reliability

A software process is who is doing what, when, and how in the development of a software system

Process models:

- Code-and-fix
  - Good for small projects and short-lived prototypes
  - Hard to accommodate changes
  - No good way for assessing risks
- Waterfall
  - Following the steps
  - Advantages
    - Suitable for projects that are well understood but complex
  - Disadvantages
    - Requires much planning up-front (not easy)
    - No sense of progress until the end
    - Delivered product may not match need
- Staged Delivery
  - procedure
    - Waterfall-like beginnings (requirements and design upfront)
    - Short release cycles (plan, code, test, release, repeat)
    - Delivery possible at the end of any cycle
  - Advantages
    - Intermediate deliveries can have feedback
    - Can ship at the end of any release cycle
    - Integration problems are visible early
  - $\circ \ \ \text{disadvantages}$

- Requirements must be known up-front
- Evolutionary prototyping
  - Similar to staged delivery
  - $\circ$   $\,$  Requirements are not know up-front but discovered by feedback
  - Advantages
    - Participatory design
    - Useful feedback loops
    - Practical and widely used
  - Disadvantages
    - Spec must be flexible
    - Requires customer involvement
    - Planning, schedule, feature set are hard to estimate
- Spiral
  - $\circ$   $\;$  Risk-oriented variation of evolutionary prototyping  $\;$
  - $\circ$   $\;$  Need to identify and solve problems with the highest risk at each iteration
  - Advantages
    - Early indication of problems
    - Decrease risks
    - Appropriate at the beginning
  - $\circ \ \ \mathsf{Disadvantages}$ 
    - Must assess risk
    - Tasks are changed frequently
- Agile
  - Customer collaboration
  - Responding to change
  - $\circ$   $\,$  Value individuals and interactions
  - $\circ$  Practices
    - Continuous integration (CI)
    - Scrum
      - □ Scrum member rotate through roles (especially product owner) each iteration.
      - Sprint (iteration) is the basic unit of development in scrum
        - It is restricted to a specific duration (usually two weeks)
        - Sprint planning: communicate the scope of work for the sprint
          - ♦ What have you completed
          - ♦ What is blocking in your way
          - ♦ What will you do next
      - □ Challenges
        - Team members are geographically dispersed or part-time
        - Members have very specialized skills
        - Products with many external dependencies
        - Products with regulated quality control
    - Test-driven development
    - Pair programming

Keeping track of progress

- Task board (GitHub has an native solution)
  - Can have priority points based on difficulty
- Burndown chart
  - $\circ \ \ \, {\rm Time-work} \ {\rm remaining} \ {\rm chart} \\$
- Sprint review and retrospective
  - Review:
    - Review the work that was completed and planned but not completed
    - Present completed work to the stakeholders
    - Team and stakeholder collaborate on what to work next
  - $\circ$  Retrospective

- What went well during the sprint?
- What could be improved?

Processes: Main Message

- Customize the processes depending on the product, organizational culture, team structure, needs, etc.
- Follow processes, but do not over-emphasize process over product

This is how we should develop a software. (SDLC)

UML: Unified Modeling Language

It's a common standard of software development, independent of development process and programming language.

UML diagrams are used for capturing different aspects of design:

- Requirements
- Systems architecture
- Program design

UML diagrams types

- Class:
  - use rectangle showing the name of the class, data structure, attributes and operations
  - Shows the relationships between classes in a system
  - Visibility symbols
    - +: public
    - -: private
    - #: protected
    - ~: package
  - Object:
    - An instance of a class, can optionally contain values of fields
    - Written in a rectangle [object name; class name](not necessary to have both)
  - Interfaces:
    - Specifies a contract
  - o In UML, both Classes and Interfaces are instances of an abstract class called Classifier
  - Relations
    - Generalization
      - Relationship between a more general class (super class, parent) and a more specific class (subclass, child)
    - Association
      - Role: association end name
      - Multiplicity: multiple class can associate to one class, and one class can associate to multiple classes
      - □ Types:
        - Binary
          - ♦ Aggregation: a weak form of whole/part



- One segment can belong to multiple triangles
- Triangles must have 3 segments
- Composition: a strong form of whole/part



- One File belongs to one folder, and doesn't have the right to live by itself, and one file can only live in one folder.
- Folders can have multiple files
- Only one end of association can be marked as aggregation/composition
- They should form an acyclic graph, since no instance should be part of itself directly or indirectly.
- N-ary
- Dependencies
- Case diagram:



- Represents the user's interaction with the system (use cases)
- $\circ$   $\;$  Subject: boundaries of the system  $\;$
- Actors: shapes with names (nouns)
- Use cases: ellipses with names (verbs)
- Line associations: connect actors to use cases
  - Multiplicity
- Relationships
  - users
    - Generalization
  - Use cases
    - □ Include: A includes B, then B must be executed in/with A
    - □ Extend: A extends B, A may/may not be executed before B
    - Generalization
- Sequence diagram:



- Consider small, discrete pieces of systems
- Messages
  - Synchronous call sends a message and wait for the response
  - Asynchronous call sends a message and proceeds immediately without waiting for a return value
- o Execution specification represents a period in the participant's lifetime
  - Can be overlapped
- Interaction fragments:
  - Allows to call another interaction
  - Good for simplifying large and complex systems, and reusing interactions







- FB send back the permission form to web browser (async)
- Web browser shows the form to the user (async)

Requirements specify what to build (not how to build it)

- Functional: actors and actions
  - $\circ~$  What the users can do
- Non-functional: performance, safety, security, scalability, dependability, reusability, portability

How to build requirements:

- Access to users is important
  - Talk to users
  - Ask questions to dig for requirements
  - think about why, not just what
  - Allow requirements to change later
- Personas
  - Think about typical users of a system
  - Personas should be different from each other
  - Pros:
    - Help understand the customers and satisfy customer problems
    - Align the stakeholder in the entire company
  - Cons:
    - May lead to false sense of understanding
    - Biases on the developer perception
  - Example (online dating system)

Alice is a college student interested in browsing profiles in order to snoop on her friends. Not willing to pay.

- Needed to represent a population of **non-paying users** 

Bob is a software engineer looking to find a younger male date. – Needed to highlight **different search criteria** 

Cynthia is a retired nurse who is looking for a soul mate. She faces challenges using mobile technology but is too shy to go to a blind date.

 Needed to represent a population of technically-impaired people, who will not easily look for help

David is the owner of the system who wants to make sure the system is ethical and legally-compliant.

- Needed to represent the requirements of the owner, e.g., to delete users

How to document requirements:

- Non-functional requirements
  - Specific and measurable
  - Write down in a list
  - $\circ~$  Can vary for different devices to fit users
- Functional requirements
  - Document
    - Detailed and long (rigid)
    - Includes: preface, introduction, glossary, user requirements definition, system architecture...
  - Prototype
    - Evolutionary prototype
      - Will become deliverable system
    - Throw-away prototyping
      - Just used for defining the specification and thrown away
      - $\hfill\square$  Throw away because the system is poorly structured and difficult to

maintain

- □ Pros:
  - Clear and easy to understand
  - Appealing to the users
  - Useful for parts of systems that's hard to describe
- $\Box$  Cons
  - Non-functional requirements are hard to express
  - Some functional requirements are difficult to prototype
  - Has no legal standing as a contract
  - Time consuming
- User stories:
  - High level definition of a requirement
  - Contains just enough info so that the developers can produce a reasonable estimate of the effort to implement it
  - Format:
    - As persona (a role), I want sth, so that benefit
- Use cases:
  - Focus on behaviors to meet the user's needs
  - Actors are not personas
    - Multiple personas can be a single user
  - Add more info (relationships between actors, use cases)

Screen sketches

### Screen Sketches

#0001	USER LOGIN	Fibonacci Size # 3
As a [ <b>re</b> į	gistered user], I want to [log in], so I can [access su	ubscriber content].
or new feature	s, annotated wireframe. For bugs, steps to reproduce with screenshot. For non-functionals	tories, explain scope/standards.
	User Login	
	Username:	User's email address. Validate format.
	Password:	
Store cookie i	If Remember me Login	Authenticate against SRS using new web service.
login	[message] Forgot password?	
successful.		Go to forgotten password page
	Display message here if not successful	
	(see confirmation scenarios over)	

Dating system example

Use case: register, setup profile, make some of the profile to be private, search based on specific requirements/filter, direct messages, Like/dislike other users, ban users, upgrade the membership. Actors: users (register, setup profile, search based on filter, direct message, like/dislike users), system owner (ban, browse users)

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System modules:

- Use nouns, not verbs
- Break a large system down into progressively smaller components or classes that are responsible for some part of the problem domain

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Single responsibility principle:

- Every module should have single responsibility
- Responsibility should be entirely encapsulated by the module
- All module functions should be aligned with that responsibility

Low Coupling/High cohesion principle

- Cohesion: degree to which the elements of a module belong together
   Related code should be close to each other
- Coupling: the degree to which the different modules depend on each other
  - Modules should be independent

High Fan-in/ Low Fan-out principle

- Have a module used by many others (fan in)
- Do not use many other modules (fan out)
  - High fan-out lacks cohesion



Principle of least knowledge

- Keep only the info and resources absolutely necessary for the module
- Module should assume as little as possible about the structure or properties of any other modules

Do not repeat yourself:

• Implement all functions once and only once

Keep things simple

Module interfaces:

- Only the concept with use cases, not the detail implementation
- Identify input and return value
- Return value from one method should be an input to the next method
- Collect info from multiple use cases
  - Completeness
- Meaningful and consistent names
  - Either remove or delete
- Think about single responsibility, coupling/cohesion, fan-in/fan-out

### Note:

- Architecture and high-level design are interchangeable
- Low-level design: detailed design of individual modules
- Modules, subsystems, components are interchangeable

### Architecture

- It is a big picture of high-level modules and their interactions
  - Interfaces and communication protocols
  - Frameworks, tools, and languages
  - Database and data structures
  - Design of the main algorithms
  - Security mechanisms
- Architectural pattern: stylized description of good design practice, based on experience
  - Often a complete system has a combination of architectural styles
  - Layered architecture
    - Android software can be layered
  - Client-server architecture
    - Android itself is not a client-server architecture.
  - Pipe-and-filter architecture
  - Model-view-controller
    - Has three layers: model (data), controller (logic), view (user representation of data)
  - Message bus
    - A software system that sends and receives messages using multiple channels

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### Patterns and principles

- Principles: guideline to follow, regardless of what patterns we are using
  - Don't repeat yourself
  - Single responsibility
  - Separation of concerns
  - Independence, high fan-in/low fan-out
  - Least knowledge
  - Make it simple (KISS)
- Patterns: something useful to follow when designing, it satisfies most principles
  - Layered architecture
  - $\circ$  Client-server
  - $\circ \ \ \mathsf{Pipe-and-filter}$
  - $\circ \ \ \text{Model-view-controller}$
  - Message bus

Microservices are used for backend development, split the backend into multiple independent components

- Developed, deployed, scaled independently with different languages/technology
- Communicate over lightweight interfaces
- Characteristics
  - Organized around business capabilities, one service per business capability
  - Loosely coupled (have few interfaces)
  - Owned by a small team
  - Independently deployable
  - Highly maintainable and testable
- At runtime
  - Managed by container-orchestration system
- Why do we need microservices
  - Agile development means more speed and independence
  - Cloud allows companies to scale individual services up/down
  - Technology: docker, kubernetes
- Challenges
  - Complexity shifted outside the code
  - Performance
  - Security
  - Framework diversity
  - Logging, monitoring and distributed tracing

Microservice is not library, it is an component, it can use API to talk with other microservices

API (Application Programming Interface):

- Is a style defining an interface, not a library
- It can belong to a class, a library, or a microservices
- Libraries expose API to the external world



**REST (Representational State Transfer)** 

- It is a design style (guideline) for communication in networked systems

   Not a protocol or specification
- Main parts
  - Resource identification: URI
    - Most important
    - Every resource has a unique URI
    - Every URI refers to exactly one resource
  - Resource representation: any format, e.g. JSON, XML, web page
    - Can flow to and from the service
  - Unified interface to get, create, delete or update resources
    - REST uniform interface principle uses 4 main HTTP methods
      - □ GET: retrieve
      - □ POST: create
      - D PUT: update
      - DELETE
    - Don't use GET to delete or post

Stateless server

- Server does not keep track of the client's state
- When a client makes a request, it includes all necessary information for the server to fulfill the request

- Menu GET my.domain.ca/menu\_items GET my.domain.ca/menu\_item/id
- Order POST my.domain.ca/order items: X, Y; credit info: C; delivery address: A
- Payments POST my.domain.ca/payment amount: XXX; credit info: C
- Delivery POST my.domain.ca/delivery items: X, Y; delivery address: A



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What to track in version control

- Source code without generated files
- Tests
- Docs
- Configuration files

Types of version control system

- Centralized
  - A central repository contains all data and histories
  - All commits are made to the central repo
  - Each developer only has a snapshot of the repo
  - Pros:
    - Everyone knows what the others do
  - $\circ~$  Cons:
    - If the main server goes down, single point of failure
    - Cannot keep track of their own change without sharing
- Distributed
  - Each copy is a full repo
    - Include data of current version and full history
  - $\circ~$  Developers can commit locally to their own repo
    - Push to the remote, if they want their commits to be visible to others
  - No centralized repo, changes can go to any remote
  - Pros:
    - Do local commits, full history is always available
    - Don't need to access a remote server
    - Can commit changes continuously
  - Cons:
    - More complex synch mechanism
    - Require a large amount of space when working with binary files that cannot be compressed

Git

- Branching
  - Can write and test different solutions in parallel
  - Can develop two features at the same time
  - Achieves code isolation
  - $\circ$   $\,$  Master branch: default branch when creating a repo
  - Head: a special pointer that simply points to the currently checked out branch or commit
    - Git checkout changes the head pointer
    - Git checkout HEAD~1: roll back to the parent of the HEAD
    - Git checkout HEAD~2: roll back 2 generations of HEAD
- Merging
  - Git uses 3-way merging
    - What is the original version
    - What you changed
    - What the other developer changed
  - 2-way merging
    - Cannot tell whether you/I/Both modified something
  - Steps

### Merging - git use three-way merging



### Merging - fast-forward merging



- If used properly
  - Non-destructive
  - Keeps info in merge commit
- If used improperly
  - Creates large amount of extraneous merge commits
  - Might cause the project histories to be messy and less readable
- Rebasing
  - $\circ$  To avoid messy history
  - $\circ$  Shift the branch from one base master branch timestamp to another
  - Pros
    - Keep a clean linear project history
    - No merge commits
  - $\circ$  Cons
    - Rewrite project histories
    - Lose information such as conflict resolutions
- Squashing
  - Meld a series of commits down into a single commit

#### Merging vs. Rebasing vs. Squashing 0-



- Is a non-destructive operation: the existing branches are not changed in any way
- Re-write the history by creating brand new commits for each commit in the original branch
- master branch

Feature1 Branch

master Branch

Keep history clean by creating a single commit containing all changes from the original branch 72

- Cherry-pick
  - Choose a commit from one branch and apply it to another by creating a new commit
  - Useful when developers need a specific commit applied to some branches, but not commits prior to this one
  - Creates a duplicate commit with the same changes and developers lose the ability to track the history of the original commit
- Conflicts in integration
  - Conflicts occur when
    - Two commits modified the same line in the same file
    - A file is deleted that another person is attempting to edit
  - Must resolve merge conflicts before merging
  - Integrate frequently to avoid merge conflicts

### GitHub

- Git is the version control system, a tool to manage source code history
- GitHub is a hosting service for Git repos

### Clone and fork

- Clone uses the same copy
- Fork makes a new copy of the repo
  - You will not affect the original copy when modifying the forked copy
  - Used to propose changes or use other people's repo as starting point

### **Pull request**

- If have write access, can push directly
- Otherwise, need a pull request

### Workflow

- Master only good for small simple projects (master is always deployable)
  - Everyone works on the master branch
  - Always pull before push
- Master/develop workflow (develop is center of development work)
  - Two branches: master and develop
  - Master HEAD always reflects a production-ready state
  - Develop HEAD always reflects a state with the latest delivered changes for next release
- Feature branch (used for individual features)
  - Exists when the feature is in development
  - o Eventually merged back into develop or discarded
- Release branch (keep track of all releases)

- Create a branch for each upcoming release
- Enables concurrent release management, multiple and parallel releases

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### Push notifications

Three components

- Front-end client
- Back-end server
- Push notification server

Workflow:

- Front-end client creates a persistent connection with the push notification server and receives a token that reflects their connection
- The token is sent to the back-end
- Back-end, sends the message to the push notification server with the token
- Push notification server notifies the front-end through the persistent connection

Use Firebase cloud messaging for push notifications

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Code review

- When
  - When developer's code is integrated with any of the main branches
- Who
  - Everyone.
- Types
  - Manual
    - Improve the code
      - Direct feedback leads to better algorithms, tests, design patterns
      - Prospect of someone reviewing your code raises the quality threshold
      - Forces code authors to articulate their decisions
      - Reduces redundancy
    - Improve the programmer
    - What to look for?
      - Bugs
      - Security vulnerabilities
      - Performance issues
      - Common code problems related to
        - Understandability, readability
          - Inconsistent names
          - Disagreement between code and specification
          - Not following style standards
        - Adherence to coding standards and best practices
        - Design and architecture
        - Documentation/comments
      - Magic numbers
      - Fail fast
      - Duplicated code
      - Long lines of code, methods, classes
      - Conditional complexity
  - Automated
    - Manual code review is expensive
    - $\circ$   $\,$  Code can be analyzed statically and dynamically
    - $\circ~$  If automated analysis fails, the code is rejected and developer needs to fix

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Verification: does the implementation meet the spec Validation: does it address the customer needs Testing involves both verification and validation

Test plan: A document describing the scope, approach, resources, and schedule of intended test activities

Test case: a single unique unit of testing code Test suit: collection of test cases Test oracle: expected behavior Test harness: collection of all the above

Process:

- Choose input data
- Define expected outcome
- Run on the input to get the actual outcome
- Compare the actual and expected outcomes

Software testing is a dynamic verification of the behavior of a program:

- On a finite set of test cases
- Suitably selected from the usually infinite executions domain
- Against the specified expected behavior (oracle)

White-box/Black-box testing

- White-box (code internal)
  - Unit testing
  - Component testing
  - Every line of the code is covered
    - Statements, branches, paths
  - $\circ~$  Find bugs in the implementation that are not covered by the specification
  - Test may have same bugs as implementation
- Black-box (input-output)
  - Integration testing
  - User acceptance testing
  - Based on requirement or design specification of the software
  - Robust with respect to changes in the implementation
    - No need to change test when code changed
  - Allows for independent testers
  - Process is not influenced by component being tested

Level of automation:

- Manual testing
  - Manually creating test cases
  - No automation
  - Pros:
    - Clever test case design
    - Interaction with system inspiration for new tests
    - Human oracle
  - Cons:
    - Single test case execution
    - Limited data
    - Might not be repeatable

- Test scripting
  - Manually creating test cases
  - Automated test execution
  - Repeatable
- Test generation
  - Automatically generate test cases
  - Based on some criteria (e.g. path coverage)
  - Oracle problem
  - Pros:
    - Clever test case design
    - Repeatable, facilitates continuous testing
    - More test cases and input data possible
    - Human oracle (documented)
  - $\circ$  Cons:
    - Cost of setting up test infrastructure
    - Maintenance cost of test suites

### Test last: the conventional way. Testing follows the implementation





Test first: agile view in which testing is used as a development tool



**Regression testing** 

- Verifies that software which was previously developed and tested still performs the same way after it was changed or interfaced with other software.
- Process: when find a bug
  - $\circ$   $\;$  Store the input that elicited that bug, plus the correct output
  - $\circ~$  Add these to the test suite
  - Check that the test suite fails
  - Fix the bug and verify the fix
- Why
  - Ensures that the fix solves the problem
  - $\circ$   $\,$  Helps to populate test suite with good tests  $\,$
  - $\circ$   $\;$  Protects against versions that reintroduce the bug
  - $\circ~$  It happened at least once, and it might happen again

Summary:

- Write tests first, then implement
- Regression
- Automation
- Statement-level coverage



### Unit tests

- Tests the behavior of an individual unit in isolation
- Typically written by developers
- Typically automated

### Assertions:

- If the condition is true:
  - Execution continues normally
- If the condition is false:
  - Test fails
  - Execution skips the rest of the test method
  - Message is printed

### Mocking:

- A controllable replacement for an existing software unit to which your code under test has a dependency
- A mock is a type of test double object
  - A test double object replaces a production object for testing purposes
    - To test partially implemented systems
    - To eliminate dependencies of your system so your tests are more focused on your functionality
    - To abstract away difficult-to-control elements
  - Other types of test double object
    - Dummy: passed around but never used. (to fill parameter list)
    - Fake: take shortcuts which makes them not suitable for production
    - Stubs: canned answers to calls made during the test
    - Spies: stubs that also record information based on how they were called
- Core idea:
  - o Identify the external dependency
    - Suppose A depends on B
  - $\circ~$  Extract the core functionality of the object into an interface
    - Create an interface B based on B
    - Change all of A's code to work with interface B
  - $\circ~$  Write a tub class that also implements the interface, but returns predetermined fake data
- Mocking with Jest
  - Reassign a function to the mock function (jest.fn())

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Java script promise

- All async functions return a Promise object
- Represents the eventual completion or failure of an asynchronous operation and its resulting value
- Can be resolved or pending

Integration testing

- Individual software modules are combined and tested as a group
- Approaches
  - Big-bang
    - Most of the developed modules are coupled together to form a complete software system
    - Effective for saving time in the integration testing process
    - Failures are hard to pinpoint
  - Bottom-up
    - Lowest level components are tested first
    - Repeat until the component at the top of the hierarchy is tested
    - Helpful only when all or most of the modules of the same development level are ready
  - Top-down
    - Reverse of bottom-up
    - Simulate the behavior of the lower-level modules that are not yet integrated
  - Mixed (sandwich)
    - Combines top-down with bottom-up
  - Risky-hardest
    - Starting with the risky and hardest software module first

### System testing

- Test the behavior of the system as a whole
  - Functional testing (all requirements are met)
    - From the backend and front-end side
  - $\circ$  Installation
  - Performance, load, stress testing
    - Performance is a major aspect of program acceptance by users
    - Measure before optimizing
      - □ Runtime CPU/memory usage
      - □ Web page load times, requests/minute, latency
    - Focus on high-level optimizations
    - Lazy evaluation, caching, combining queries saves time
  - Usability
  - Graphical user interface testing
  - Other non-functional requirements

### Profiling:

- Log and monitor
  - Especially for cloud-based systems
- Profiling is expensive and slows down the code
  - Make sure it is short
- If the app meet's the project's stated performance requirements, don't optimize it

User acceptance testing

- System is shown to the user/client/customer to make sure that it meets their needs
   A form of black-box system testing
- Beta testing
  - Advantages
    - Customers test for free
    - Gives test cases representative of customer use
    - Helps to determine what is most important to the customers
    - Test in real settings other than in lab
  - Disadvantages
    - Do not exhaust your beta-testers
    - Beta testers may have a particular perspective to the system, may not able to catch system bugs
- GUI testing
  - GUI responds to user events (clicks)
    - Event-driven systems
  - o GUI interacts with the underlying code by method calls or messages
  - $\circ$   $\,$  Testing GUI correctness is critical for system usability, robustness and safety  $\,$
  - Difference between GUI and non-GUI
    - Non-GUI: test cases invoke methods of the system and catch the return values
    - GUI:
      - Identify the components of a GUI
      - Exercise GUI events
      - Provide inputs to the GUI components
      - Test the functionality underlying a GUI set of components
      - □ Assert the GUI properties to see if they are consistent with the expectations
  - Types:
    - During acceptance testing: accept the system
    - Regression testing test the system with respect to changes
  - Challenges
    - Maintenance is hard and costly
      - Non-deterministic behavior
      - GUIs are dynamic and change
      - □ Small structural changes can break the test cases
    - Adequacy hard to measure
    - Technology-dependent
  - Approaches
    - Manual
      - Based on the domain and application knowledge of the tester
    - Capture and replay
      - Based on capture and replay of user sessions
      - Difficult to detect faults looking at the GUI
      - □ Indeterministic state transitions
      - □ Relies on screen diffing
      - Some tools produce scripts that can be updated by the tester to include conditions and acceptance criteria
    - Manual test generation
      - □ E.g. Espresso for Android
        - Instrumentation-based framework
        - Use Android Instrumentation to inspect and interact with Activities under test
    - Automated test generation
      - Random event generator
        - E.g. Monkey tester
          - ♦ Fires random events
          - ♦ Report crashes or errors
          - ♦ Struggles to provide text inputs

- ♦ Low code coverage
- No test oracle
- □ Model-based
- $\hfill\square$  Search-based

Testing is one of the most important SE activities Be systematic

November 2, 2020 3:00 PM

Static program analysis: reasoning about code

- Process of automatically analyzing the behavior of programs
  - Input: the code of the program
  - Output: code or interesting facts about the code
- E.g. compilers, intellisense
- Major application
  - Program correctness
  - Program optimization
  - Program understanding, validation, and repair

Why program analysis:

- Reduce development costs
  - Validation and verification is usually 50%
- Maintenance costs
  - 2-3 times as much as development costs

Models: abstract syntax tree (AST)

- Common form of representing expressions and program statements
- Two kinds of nodes: operator and operands
  - Operator applied to N operands
- Each node denotes a construct occurring in the source code



Control flow graph (CFG):

- Basic block: maximal program region with a single entry and single exit point
- Nodes N: statements or basic blocks
- Directed edges E: potential transfer of control from the end of one region directly to the

beginning of another

- Intra-procedural (within a method) •
- A sub path through a control flow graph: .
- A sequence of nodes such that for each  $n_i$ ,  $(n_i, n_{i+1})$  is an edge in the graph
- A complete path starts at the start node and ends at the final node
- Infeasible path: path that will never been reached
  - CFG overestimates the executable behavior
- Benefits
  - The most commonly used representation
  - Basis for many types of automated analysis
    - Graphical representations of interesting programs are too complex for direct human understanding
  - Basis for various transformations



Call graphs (Inter-procedural CFG)

- Between functions
- Node represent procedures
- Edges represent potential calls relation



- F is overridden in B
- · Creating the exact (static) call graph is an undecidable problem
  - All non-trivial semantic properties of programs are undecidable
    - A semantic property is about the program's behavior (i.e. does the program terminate for all inputs)
    - A property is non-trivial if it is neither true nor false for every computable function

- Computing call graphs requires
  - Point-to analysis
  - Exceptions
- Multiple existing heuristic algorithms
  - Various degree of precision/scalability

Data flow analysis

• A technique for gathering information about the propagation of data values in the program

Variable Definition and uses(DU)

- Variable definition: the variable is assigned a value
  - Variable declaration (often the special value uninitialized)
  - $\circ \ \ \, \text{Variable initialization}$
  - Assignment
  - Values received by a parameter
  - Value increments
- Variable use: the variable's value is actually used
  - Expressions
  - Conditional statements
  - Parameter passing
  - returns

Data dependence graph:

- Nodes: program statements
- Edges: DU pairs, labeled with the variable name



• Keep all the arrows





- Used in
  - Compilers and optimization
  - Security analysis

Testing is a dynamic verification of the behavior of a program

- On a finite set of test cases
- Suitably selected from the usually infinite executions domain
- Against the specified expected behavior

Systematic testing:

- Black-box: test cases come from requirements/user stories
- White-box: inspect the code/coverage criteria to see if you missed cases

Measuring test suite quality with coverage

- Various kinds of coverage
  - Statement: is every statement run by some test case?
    - Each statement (or node in the CFG) must be executed at least once
    - Coverage = # executed statements
  - Branch: is every direction of an if or while statement taken by some test case
    - Every path going out of a node executed at least once
    - Coverage: percentage of edges hit
    - Each predicate must be both true and false to achieve 100%
  - Path: is every path through the program taken by some test case
    - Coverage: # executed paths
       # paths
    - Each CFG path must be executed at least once

### Limitations of Symbolic execution

- Expensive
  - Executing all feasible program paths is exponential in the number of branches
  - Does not scale to large programs
- Problems with function calls
- Problems with handling loops
  - Often unroll them up to a certain depth rather than dealing with termination or loop invariants

To write a test

- Identify the fault
- Write a test case that does not execute statements related to the fault
- Write a test case that executed the statements related to the fault, but does not result in a detectable error state
- Write a test case that detects the fault

Limitations of coverage

- Coverage is just a heuristic
- 100% coverage may not be achievable
- 100% is not sufficient
- Common practice: statement-level coverage + clever test selection + test case for all found bugs + regression
- More advanced techniques: input space partitioning, combinational testing

November 9, 2020 3:36 PM

### DevOps:

- A software engineering practice that aims at unifying software development (Dev) and software operations (Ops)
- Why
  - Limited capacity of operations staff
  - Limited dev insights into operations
  - Developers and operators don't always pursue the same goals
    - Developers want to push new features
    - Operators want to keep the system available
  - Poor communication between developers and operators
- Encourages communication and collaboration between development and operations staff, get them talking
- Tool Chain
  - Plan: requirements, architecture, design
  - $\circ~$  Create: code development and review, source code management tools
  - Code merging
  - Build: continuous integration tools, build status
  - Test: continuous testing tools that provide feedback on business risks
  - Package: artifact repository, application pre-deployment staging
  - Release: change management, release approvals, release automation
  - o Configure: infrastructure configuration and management, infrastructure as code tools
  - Monitor: applications performance monitoring, end-user experience

Continuous integration:

- The practice of routinely integrating code changes into a main branch of repository, and testing the changes, as early and often as possible
- Developers work on a feature branch
- At regular intervals they submit pull requests
- Branch tested and integrated with development branch
- Tools:
  - Travis
  - $\circ$  Jenkins
  - Pipelines
  - Integrate with Git-based version control system

Deployment is not trivial:

- Challenges:
  - Any development team can deploy their code at any time no synchronization among development teams
  - $\circ~$  It takes time to replace one instance of version A with an instance of version B
  - Needs to be always available to customers
- Solution: API Gateway/Proxy
  - $\circ$   $\,$  Single entry point for all clients for a number of different underlying APIs  $\,$
  - Limit clients' visibility of your internal structure
  - Performs authentication/authorization/logging
  - Can be configured to route the request to the appropriate version/service

Load balancer

- Facilitates load distribution
- Directs traffic efficiently to all the servers present in the application configuration

Usage and tools

.

- Multiple concepts can be implemented in one tool
- Support continuous integration, blue-green deployments, API management



- Only one version is available at any time
- Requires 2N VMs
  - Additional cost
- o Rollback is easy
- Rolling upgrade: upgrade VM, APIs one by one
  - Multiple versions are available at the same time
  - Requires N+1 VMs
    - Can be done at nearly no extra cost

#### Canary testing

- Canaries are small number of instances of a new version placed in production in order to perform live testing in a production environment
- Canaries are observed closely to determine whether the new version introduces any logical or performance problems. If not, roll out new version globally. If so, roll back canaries
- Implementation
  - Create set of new VMs as canaries
  - $\circ~$  Designate a collection of customers as testing the canaries.
    - Organization-based
    - Geographically based
    - At random
  - $\circ$  Then
    - Route messages from canary customers to canaries
      - Can be done through making registry/load balancer canary aware
    - Observe the canaries closely
    - Decide on rolling out/back

Dev and Ops are related activities

- Developers' responsibility: unlikely to be able to "throw your final version over the fence" and let operations worry about running it!
- Result: Shorter development cycles, increased deployment frequency, closer alignment with business objectives

Automation is important

• Makes the processes faster, more manageable, more repeatable

Tools can help but cannot replace good practices and processes