

# Curves

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Hyper trig:

- $\sinh t = \frac{e^t - e^{-t}}{2}$
- $\cosh t = \frac{e^t + e^{-t}}{2}$
- $\cosh^2 t - \sinh^2 t = 1$
- $\sinh' t = \cosh t, \cosh' t = \sinh t$

General concepts:

- Def: a **parametrized curve** is a differentiable map  $\alpha: I \rightarrow \mathbb{R}^n$  of an open interval  $I = (a, b) \subset \mathbb{R}$ .
- The image set  $\alpha(I) \subset \mathbb{R}^n$  is called the **trace** of  $\alpha$
- $\alpha$  is **regular** if  $\alpha'(t) \neq 0$  for all  $t \in I$ .
  - If  $\alpha$  is regular, then there is a tangent line to the curve at every point
  - Any point  $t$  where  $\alpha'(t) = 0$  is called a **singular point**

Arc length

- The **arclength** function of  $\alpha$  from the point  $t_0$  is  $s(t) = \int_{t_0}^t |\alpha'(u)| du$  (the length of the part of the curve from  $\alpha(t_0)$  to  $\alpha(t)$ )
- The arclength is invariant under reparameterization
- $s(t)$  is differentiable and  $\frac{ds}{dt} = |\alpha'(t)|$ 
  - We say that  $\alpha(t)$  is **parametrized by arclength** if  $t$  is the arclength from some point
  - Every regular curve can be parametrized by arclength, and  $\alpha(s)$  has the property  **$|\alpha'(s)| = 1$**  (unit speed parametrization)
  - If  $\alpha(t)$  is regular, then  **$s(t)$  has an inverse function  $t(s)$**  and  $\frac{dt(s)}{ds} = \frac{1}{\frac{ds(t)}{dt}}$

**Curvature:**

- Def: let  $\alpha: I \rightarrow \mathbb{R}^3$  parametrized by arclength  $|\alpha'(s)| = 1$ ,  **$\kappa(s) = |\alpha''(s)|$**  is the curvature of  $\alpha$  at  $s$ . It measures how rapidly the curve pulls away from its tangent line at  $s$
- For straight line, curvature is 0 (does not bend)
- For circles, curvature is the same at each point (constant bending)
- Note: when using arclength parametrization,  **$\alpha''(s)$  is orthogonal to  $\alpha'(s)$**
- Measures **deviation of curve from being a line**

Unit tangent vector:  $T(s) = \alpha'(s)$

Unit normal vector:  $N(s) = \frac{\alpha''(s)}{|\alpha''(s)|} = \frac{\alpha''(s)}{\kappa(s)}$

Osculating plane at  $s$ : plane determined by  $T$  and  $N$

- Assume  $\alpha'' \neq 0$  (Frenet curve), then  $B(s) = T(s) \times N(s)$  is normal to the osculating plane (**binormal vector**)
- $|B'(s)|$  is the rate of change of the angle of normal of neighboring osculating planes with the osculating plane at  $s$

**Torsion:**

- $B'(s) = \frac{d}{dt}(T(s) \times N(s)) = T' \times N + T \times N' = T \times N'$  (since  $T'$  is parallel to  $N$ )
  - $B' \perp T$  and  $B' \perp B, B' \parallel N$
  - So define **torsion  $\tau(s)$**  such that  **$B'(s) = -\tau(s)N(s)$**
- Measures **deviation of curve from lying in a plane**

Summary (**Frenet equations**): if  $\alpha(s)$  parametrized by arclength with  $\alpha''(s) \neq 0$

- $T' = \kappa N$
- $B' = -\tau N$
- $N' = B' \times T + B \times T' = \tau N \times T + B \times \kappa N = \tau B - \kappa T$ 
  - $N = B \times T$
- $(T, N)$ -plane: **osculating plane** (plane that best fits the curve)
- $(N, B)$ -plane: **normal plane** (unique plane normal to  $\alpha(s), \alpha'(s)$  at  $s$ )
- $(T, B)$ -plane: **rectifying plane** (plane orthogonal to curvature vector)
  - The projection onto this plane straightens or rectifies  $\alpha(s)$  in the sense that up to second order, the projected curve is a line
- If  $\alpha$  is a Frenet curve, then  $\tau = 0 \iff \alpha$  is a plane curve

Curves in  $\mathbb{R}^2$ : the curvature can be given a sign

- If  $T = \alpha'$  is the unit tangent vector, then  $N_s =$  vector obtained by rotating  $T$  counter clockwise by  $\frac{\pi}{2}$  (**signed normal**)
- Then  $\alpha'' = T' = \kappa_s N_s$  gives the signed curvature  $\kappa_s$
- Note: sign of curvature changes if we change the orientation of the curve
- Then Frenet equations in  $\mathbb{R}^2$  become:
  - $T' = \kappa_s N_s$
  - $N_s' = -\kappa_s T$

Curves in  $\mathbb{R}^n$ :

- Let  $\alpha: I \rightarrow \mathbb{R}^n$  regular,  $n$ -times continuously differentiable curve parametrized by arclength,  $\alpha$  is a Frenet curve if for all  $s$ ,  $\alpha'(s), \alpha''(s), \dots, \alpha^{(n-1)}(s)$  are linearly independent, then there exists a unique Frenet- $n$ -frame if
  - $e_1, \dots, e_n$  orthonormal vectors positively oriented
  - For  $k = 1, \dots, n-1$ ,  $\alpha^{(k)} \in \text{span}\{e_1(s), \dots, e_k(s)\}$
  - The inner product  $\langle \alpha^{(k)}(s), e_k \rangle > 0$  for all  $k = 1, \dots, n-1$
- We can obtain the  $n$ -frame via Gram-Schmidt process
  - $e_1 = \alpha', e_2 = \frac{\alpha''}{|\alpha''|}, \dots, e_{n-1} = \frac{\alpha^{(n-1)} - \sum_{i=1}^{n-2} \langle \alpha^{(n-1)}, e_i \rangle e_i}{|\alpha^{(n-1)} - \sum_{i=1}^{n-2} \langle \alpha^{(n-1)}, e_i \rangle e_i|}$
  - $e_n$  determined by (i)
- Frenet equations:
 

Let  $\alpha$  be a Frenet in  $\mathbb{R}^n$  with Frenet- $n$  frame. Then there exists differentiable function  $R_i: I \rightarrow \mathbb{R}, i = 1, \dots, n-1$  ( $i$ th Frenet curvature) along the curve with  $k_1, \dots, k_{n-2} > 0$ , such

$$\text{that } \begin{pmatrix} e_1 \\ e_2 \\ \dots \\ e_n \end{pmatrix}' = \begin{pmatrix} 0 & k_1 & \dots & 0 \\ -k_1 & \dots & k_{n-1} & \\ 0 & -k_{n-1} & 0 & \end{pmatrix} \begin{pmatrix} e_1 \\ e_2 \\ \dots \\ e_n \end{pmatrix}$$

- E.g. in  $\mathbb{R}^3$ , we have  $\begin{pmatrix} T \\ N \\ B \end{pmatrix}' = \begin{pmatrix} 0 & \kappa & 0 \\ -\kappa & 0 & \tau \\ 0 & -\tau & 0 \end{pmatrix} \begin{pmatrix} T \\ N \\ B \end{pmatrix}$

- As torsion in  $\mathbb{R}^3$ , we can give  $k_{n-1}$  a sign

Calculate curvature and torsion by  $\alpha(t)$

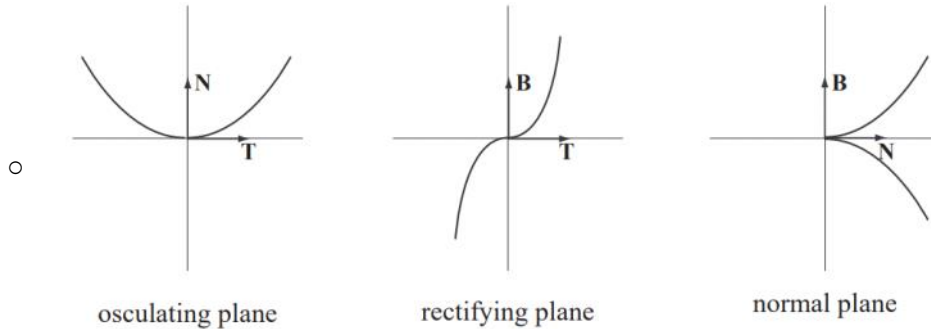
- $\kappa(t) = \frac{|\alpha' \times \alpha''|}{|\alpha'|^3}$
- $\tau(t) = \frac{\det(\alpha', \alpha'', \alpha''')}{|\alpha' \times \alpha''|^2}$
- Binormal vector  $B(t)$  is parallel to  $r'(t) \times r''(t)$

Local canonical form

- Using Taylor series and the following expressions, we can get  $\alpha(s) = \alpha(0) + \left(s - \frac{\kappa^2}{6} s^3\right) T + \left(\frac{\kappa s^2}{2} + \frac{\kappa' s^3}{6}\right) N + \frac{\kappa \tau s^3}{6} B + R$ 
  - $\alpha'(0) = T$
  - $\alpha''(0) = \kappa N$

- $\alpha'''(0) = -k^2T + k'N + k\tau B$
- $\lim_{s \rightarrow 0} \frac{R}{s^3} = 0$
- Rotate and translate the curve such that  $\alpha(0) = 0, T = (1,0,0), N = (0,1,0), B = (0,0,1)$ , we have

- $x(s) = s - \frac{k^2}{6}s^3 + R_x$
- $y(s) = \frac{k}{2}s^2 + \frac{k'}{6}s^3 + R_y$
- $z(s) = \frac{k\tau}{6}s^3 + R_z$
- In TN-plane(osculating): a quadratic  $(x(s), y(s))$
- In NB-plane(normal): not regular  $(y(s), z(s))$
- In TB-plane(rectifying): up to second order, the projected curve is a line  $(x(s), z(s))$



- Interpretation of **sign of torsion**
  - Component of  $\alpha$  in the  $B$  direction is  $\frac{k\tau}{6}s^3$
  - If  $\tau > 0$ , as  $s$  increases, the curve is crossing the osculating plane toward the positive side
  - If  $\tau < 0$ , the curve is crossing the osculating plane in the negative  $B$  direction
  - The curve twists out of the osculating plane,  $\tau$  measures the twisting or torsion

### Osculating circle

- The osculating circle at  $s$  is the osculating plane at  $s$ , with center on the line in the direction of  $N(s)$  and **radius  $\frac{1}{k(s)}$**  that lies on the concave side of  $\alpha$
- It is the circle of closest fit to  $\alpha$  at  $s$
- The osculating circle is the unique circle  $\beta(s)$  parametrized by arclength with  **$\beta(s) = \alpha(s), \beta'(s) = \alpha'(s)$ , and  $\beta''(s) = \alpha''(s)$**

Characterize certain curves by properties of their curvature and torsion

- $k = 0$  straight line
- $k \neq 0$  and  $\tau = 0$  plane curve
- $\tau = 0, k = \text{const} > 0$  circle
- $\tau = \text{const} \neq 0, k = \text{const}$  helix

### Fundamental theorem of curves:

- If  $k \neq 0$ , the functions  $k$  and  $\tau$  completely describe the curve geometrically
- Definition:  $F: \mathbb{R}^n \rightarrow \mathbb{R}^n$  is an **isometry** (or rigid motion) if  $|F(v) - F(w)| = |v - w|$  for all  $v, w \in \mathbb{R}^n$ 
  - **$F$  is an isometry  $\Leftrightarrow F(v) = Av + b$**  where  $A \in O(n)$  (orthogonal  $n \times n$  matrix) and  $b \in \mathbb{R}^n$ 
    - An  $n \times n$  matrix is orthogonal if  $A^T A = I$ , or the columns of  $A$  are orthonormal vectors
    - Orthogonal matrices preserve the dot product i.e.  $Av \cdot Aw = v \cdot w$
    - The curvature and torsion of a Frenet curve are invariant under orientation preserving isometries
- Let  $k(s) > 0$  and  $\tau(s), s \in (a, b)$  be differentiable function. Let  $s_0 \in (a, b), q_0 \in \mathbb{R}^3$  and let  $T_0, N_0, B_0$  be orthonormal vectors. Then there exists a unique **regular curve**  $\alpha: (a, b) \rightarrow$

- $\alpha(s_0) = q_0$
- $T_0, N_0, B_0$  is the Frenet frame of  $\alpha$  at  $s_0$
- $k(s)$  is the curvature and  $\tau(s)$  is the torsion of  $\alpha$
- **Uniqueness:** assume  $\alpha, \beta: I \rightarrow \mathbb{R}^3$  satisfying  $k_\alpha(s) = k_\beta(s)$  and  $\tau_\alpha(s) = \tau_\beta(s)$  then  $\beta$  is the image of  $\alpha$  under a rigid motion of  $\mathbb{R}^3$ 
  - Exists an orthogonal matrix  $A$  with  $\det A > 0$  and  $b \in \mathbb{R}^3$  such that  $\beta(s) = A\alpha(s) + b$
- In general, given  $k$  and  $\tau$ , it is difficult to explicitly solve the Frenet equations. For plane curves, can explicitly determine the curve in terms of its curvature
  - Let  $\alpha: (a, b) \rightarrow \mathbb{R}^2$  be plane curve parametrized by arclength
    - $\alpha'(s) = (\cos \theta(s), \sin \theta(s))$ , where  $\theta(s)$  is the angle  $\alpha'(s)$  makes with the positive  $x$ -axis measured counter clockwise
    - Then  $\alpha''(s) = \frac{d\theta}{ds}(-\sin \theta(s), \cos \theta(s))$  where  $(-\sin \theta(s), \cos \theta(s))$  is the normal vector
    - $k_s(s) = \frac{d\theta}{ds}$  is the rate of change of the angle the tangent makes with the horizontal,  $\theta(s) = \theta(s_0) + \int_{s_0}^s k_s(u) du$

### Fundamental theorem for plane curve

Given  $k: (a, b) \rightarrow \mathbb{R}$  differentiable,  $s_0 \in (a, b)$ ,  $q_0 \in \mathbb{R}^2$ ,  $T_0 = (\cos \theta_0, \sin \theta_0)$ , there exists a unique  $\alpha: (a, b) \rightarrow \mathbb{R}^2$  parametrized by arclength such that

- $\alpha(s_0) = q_0$
- $\alpha'(s_0) = T_0$
- $k(s)$  is the signed curvature
- $\alpha(s) = q_0 + \left( \int_{s_0}^s \cos \theta(u) du, \int_{s_0}^s \sin \theta(u) du \right)$  where  $\theta(u)$  defined as above

### Global properties of curves

- Def:  $\alpha: [a, b] \rightarrow \mathbb{R}^2$  is a **closed curve** if  $\alpha(a) = \alpha(b)$ ,  $\alpha'(a) = \alpha'(b)$ , ... ( $\alpha$  and all its derivatives agree at  $a$  and  $b$ )
  - Def:  $\alpha$  is **simple** if it has no further self-intersections
    - $(t_1, t_2 \in [a, b], t_1 \neq t_2 \Rightarrow \alpha(t_1) \neq \alpha(t_2))$
- Let  $\alpha: [0, l] \rightarrow \mathbb{R}^2$  be a closed curve parametrized by arc length. Define  $\theta: [0, l] \rightarrow \mathbb{R}$  by  $\theta(s) = \int_0^s k_s(s) ds$ ,  $\theta$  is differentiable and  $\theta'(s) = k_s(s)$ .  $\int_0^l k_s(s) ds = 2\pi I$ ,  $I \in \mathbb{Z}$  since the curve is closed. The integer  $I$  is the **rotation index (number)**
  - $I = \frac{1}{2\pi} \int_0^l k_s(s) ds$
  - It measures total rotation of tangent vector as you go around the curve



- **Theorem of turning tangents:** the rotation index of a simple closed curve is  $\pm 1$

where the sign depends on the orientation

- **Isoperimetric inequality**

- Let  $C$  be a simple closed plane curve of length  $l$  and let  $A$  be the area bounded by  $C$ .

Then  $A \leq \frac{1}{4\pi} l^2$

- Equality if and only if  $C$  is a circle

# Surfaces: Local theory

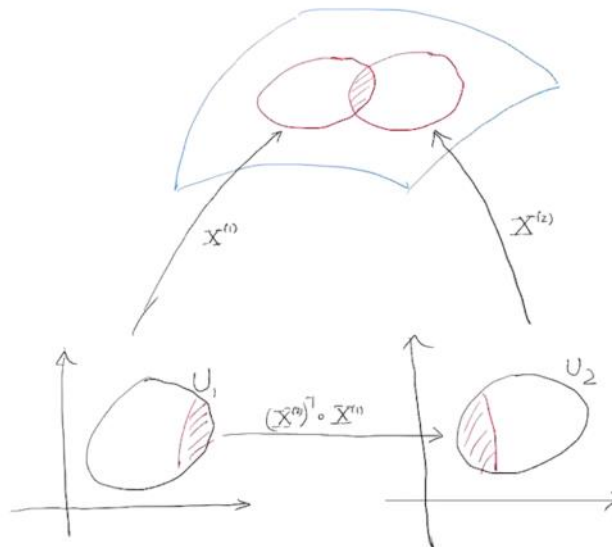
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Def: a **parametrized surface element** is a differentiable map  $X: U \rightarrow \mathbb{R}^3, U \subset \mathbb{R}^2$  an open set with  $X(u, v) = (x_1(u, v), x_2(u, v), x_3(u, v))$

- $X$  is regular if  $\frac{\partial X}{\partial u}$  and  $\frac{\partial X}{\partial v}$  are linearly independent (i.e.  $\frac{\partial X}{\partial u} \times \frac{\partial X}{\partial v} \neq 0$ ) for all  $(u, v) \in U$
- **Paraboloid**:  $X(u, v) = (u, v, u^2 + v^2)$
- **Helicoid**:  $X(u, v) = (v \cos u, v \sin u, au)$  where  $a \neq 0$  constant,  $u \in (0, 2\pi), v \in \mathbb{R}$ 
  - Through each point of a helix, draw a line parallel to  $xy$  -plane and through  $z$  -axis
  - Minimal, ruled surface
- **Sphere**:  $X(\phi, \theta) = (\sin \phi \cos \theta, \sin \phi \sin \theta, \cos \phi), \phi \in (0, \pi), \theta \in (0, 2\pi)$ .
  - $\frac{\partial X}{\partial u} \times \frac{\partial X}{\partial v} = \sin \phi X, \left| \frac{\partial X}{\partial u} \times \frac{\partial X}{\partial v} \right| = |\sin \phi|$  is regular when  $\phi \neq k\pi$
- Surface of revolution: let  $\alpha: I \rightarrow \mathbb{R}^3 \alpha(u) = (0, f(u), g(u))$  be a curve,  $f > 0$ , rotate  $\alpha$  about  $z$  -axis,  $X(u, v) = (f(u) \cos v, f(u) \sin v, g(u)), u \in I, v \in (0, 2\pi)$
- Note: all the regular surfaces miss some point

Def: a subset  $S \subset \mathbb{R}^3$  is a **regular surface** if for each  $p \in S$ , there is an open set  $V \subset \mathbb{R}^3$  containing  $p$ , an open set  $U \subset \mathbb{R}^2$  and a differentiable map  $X: U \rightarrow \mathbb{R}^3$  such that

- $X(U) = V \cap S$
- $X$  is regular
- $X$  is one-to-one and  $X^{-1}$  is continuous (i.e. the map  $X$  is a **homeomorphism**)
  - $(X^{(2)})^{-1} \circ X^{(1)}$  is a diffeomorphism (differentiable with differentiable inverse)



Examples of regular surfaces

- Sphere  $S^2 = \{(x, y, z): x^2 + y^2 + z^2 = 1\}$ 
  - Let  $U = \{(x, y) \in \mathbb{R}^2: x^2 + y^2 < 1\}$   $f(x, y) = (x, y, \sqrt{1 - x^2 - y^2})$  is a regular parametrization of upper hemisphere

**Tangent plane**  $T_p S$  to  $S$  at  $p = f(u_0, v_0)$  is the subspace of  $\mathbb{R}^3$  spanned by  $\frac{\partial f}{\partial u}(u_0, v_0)$  and  $\frac{\partial f}{\partial v}(u_0, v_0)$

A **unit normal** to  $S$  at  $p$  is a unit vector normal to the tangent plan  $T_p S$ . Given a parametrization  $f: U \subset$

$\mathbb{R}^2 \rightarrow S \subset \mathbb{R}^3$ , we obtain a unit normal vector at each  $q \in f(U)$  by  $n = \frac{\frac{\partial f}{\partial u} \times \frac{\partial f}{\partial v}}{\left| \frac{\partial f}{\partial u} \times \frac{\partial f}{\partial v} \right|}$

**First fundamental form**

- The inner (dot) product of  $\mathbb{R}^3$  induces an inner product on  $T_p S \subset \mathbb{R}^3$  by restriction, called the first

fundamental form  $I_p$

○  $I_p: T_p S \times T_p S \rightarrow \mathbb{R}$   $I_p(v, w) = v \cdot w$ , where  $v, w$  are two tangent vectors

• properties:

○ **Symmetric** ( $I_p(v, w) = I_p(w, v)$ )

○ **Bilinear** ( $I_p(av_1 + bv_2, w) = aI_p(v_1, w) + bI_p(v_2, w)$ )

○ **Positive definite** ( $I_p(v, v) \geq 0$ ,  $I_p(v, v) = 0$  if and only if  $v = 0$ )

• Suppose  $\{v_1, v_2\}$  is a basis of  $T_p M$ , the matrix representation of  $I_p$  with respect to the basis is

$$\begin{pmatrix} I_p(v_1, v_1) & I_p(v_1, v_2) \\ I_p(v_2, v_1) & I_p(v_2, v_2) \end{pmatrix}.$$

○ If  $u = a_1 v_1 + a_2 v_2, w = b_1 v_1 + b_2 v_2,$

$$\text{then } I(u, w) = \begin{pmatrix} a_1 & a_2 \end{pmatrix} \begin{pmatrix} I_p(v_1, v_1) & I_p(v_1, v_2) \\ I_p(v_2, v_1) & I_p(v_2, v_2) \end{pmatrix} \begin{pmatrix} b_1 \\ b_2 \end{pmatrix}$$

• Given a parametrization  $f: U \subset \mathbb{R}^2 \rightarrow \mathbb{R}^3$ , the matrix representation of  $I$  with respect to basis

$$\left\{ \frac{\partial f}{\partial u}, \frac{\partial f}{\partial v} \right\} \text{ of } T_p S \text{ is denoted } \begin{pmatrix} E & F \\ F & G \end{pmatrix} = \begin{pmatrix} I_p \left( \frac{\partial f}{\partial u}, \frac{\partial f}{\partial u} \right) & I_p \left( \frac{\partial f}{\partial u}, \frac{\partial f}{\partial v} \right) \\ I_p \left( \frac{\partial f}{\partial v}, \frac{\partial f}{\partial u} \right) & I_p \left( \frac{\partial f}{\partial v}, \frac{\partial f}{\partial v} \right) \end{pmatrix} = \begin{pmatrix} f_u f_u & f_u f_v \\ f_v f_u & f_v f_v \end{pmatrix}$$

• Def: two surfaces  $S_1$  and  $S_2$  are **locally isometric** if for each  $p \in S_1$ , there are parametrizations  $f_1: U \rightarrow S_1, f_2: U \rightarrow S_2$  ( $p \in f_1(U)$ ) such that  $f_2 \circ f_1^{-1}: f_1(U) \rightarrow f_2(U)$  preserves the first fundamental forms

○ Plane and cylinder are locally isometric

• **Importance:** By knowing  $I$ , we can calculate geometric quantities (length, angle, area) without further reference to the ambient  $\mathbb{R}^3$

○ Arclength of a parametrized curve in a surface:  $\alpha: (a, b) \rightarrow S$  be a curve in  $S$ , the arclength of

$$\alpha: s(t) = \int_0^t |\alpha'(t)| dt = \int_0^t \sqrt{I(\alpha', \alpha')} dt = \int_0^t \sqrt{E \left( \frac{du}{dt} \right)^2 + 2F \frac{du}{dt} \frac{dv}{dt} + G \left( \frac{dv}{dt} \right)^2} dt$$

▪ Element of arclength is  $ds^2 = Edu^2 + 2Fdudv + Gdv^2$

○ Angle:  $\cos \theta = \frac{f_u \cdot f_v}{|f_u| |f_v|} = \frac{F}{\sqrt{EG}}$

▪ A parametrization  $f: U \rightarrow S$  is a **conformal** (orthogonal) parametrization if  $F(u, v) = 0$  and  $E = G$ , ( $\theta = \frac{\pi}{2}$ ) for all  $(u, v) \in U$ . i.e. the coordinate curves are orthogonal

○ Area:  $\iint_U |f_u \times f_v| dudv = \iint_U \sqrt{EG - F^2} dudv$

▪ Note:  $\left| \frac{\partial f}{\partial u} \times \frac{\partial f}{\partial v} \right|^2 + \left| \frac{\partial f}{\partial u} \cdot \frac{\partial f}{\partial v} \right|^2 = \left| \frac{\partial f}{\partial u} \right|^2 \left| \frac{\partial f}{\partial v} \right|^2$  does not depend on the parametrization

Def: A surface  $S \subset \mathbb{R}^3$  is **orientable** if there exists a differentiable field of unit normal vectors  $N: S \rightarrow \mathbb{R}^3$

• Differentiable means  $N \circ f: U \subset \mathbb{R}^2 \rightarrow \mathbb{R}^3$  differentiable

• The choice of such a field is called an **orientation of  $S$**

○ There are always two choices: **outward/inward** based on the direction of normal vectors

• Cube is not regular, thus is not orientable (piecewise orientable on each surface)

• Any parametrized surface element  $f: U \subset \mathbb{R}^2 \rightarrow \mathbb{R}^3$  is **oriented by  $n = \frac{f_u \times f_v}{|f_u \times f_v|}$**

• Unit sphere  $S^2 = \{p \in \mathbb{R}^3: |p| = 1\}$  is orientable

○  $N(p) = p$  is the outward unit normal

• The smooth surface Mobius strip is not orientable

Def: Let  $S \subset \mathbb{R}^3$  be a surface with orientation  $N$ . The map  $N: S \rightarrow S^2 \subset \mathbb{R}^3$  ( $p \rightarrow N(p)$ ) is called the **Gauss map** ( $S^2$  is the unit sphere/set of all unit vectors in  $\mathbb{R}^3$ )

The **shape operator** at  $p$  is the map  $S_p: T_p S \rightarrow \mathbb{R}^3$   $S_p(V) = -(D_V N)(p)$

•  $V$  is the tangent vector to the surface

• Measures the rate of change of  $N$  in the direction  $V$  at  $p$

•  $S_p(V) = -(N \circ \alpha)'(p)$ , where  $\alpha$  is any curve in  $S$  with  $\alpha(0) = p, \alpha'(0) = V$

○  $V$  can actually be any tangent vector, not necessarily unit

○ Since  $N$  is unit vector,  $N(\alpha(t)) \cdot N(\alpha(t)) = 1$

So  $(N \circ \alpha)'(0) \cdot (N \circ \alpha)(0) = 0,$

- i.e.  $D_v N(p) \cdot N(p) = 0$ ,  $D_v N(p) \in T_p S$
- Prop:  $S_p: T_p S \rightarrow T_p S$  is a self-adjoint (symmetric) linear map
  - $S_p(V) \cdot W = V \cdot S_p(W)$

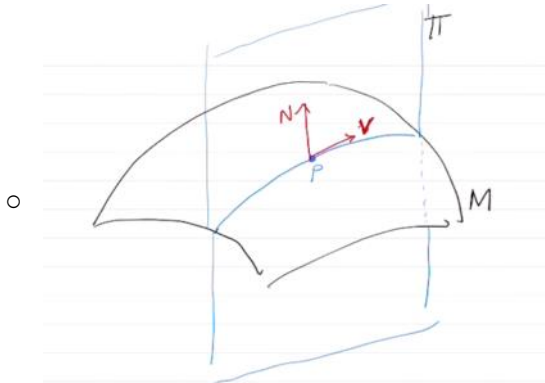
Def: the second fundamental form of  $S$  at  $p$  is  $II: T_p S \times T_p S \rightarrow \mathbb{R}$ ,  $II(v, w) = I(S_p(V), W) = S_p(V) \cdot W$

- $II(V, V)$  gives the curvature
- Note:  $II$  is symmetric bilinear form
  - Shape operator is linear and dot product is bilinear
  - $II(V, W) = II(W, V)$  ( $S_p$  is self adjoint)
- Matrix representation with respect to the basis  $\{f_u, f_v\}$  of  $T_p S$ :  $II = \begin{pmatrix} l & m \\ m & n \end{pmatrix}$ 
  - $l = II(f_u, f_u) = -N_u \cdot f_u = N \cdot f_{uu}$ ,  $m = II(f_u, f_v) = N \cdot f_{uv}$ ,  $n = II(f_v, f_v) = N \cdot f_{vv}$
- Planes have zero second fundamental form, reverse is true
- Sphere oriented inward:  $II = I$ ,  $II(v, v) = |v| = 1$

Curves on surfaces and curvature

Let  $\alpha: I \rightarrow M$  be a regular curve on the surface  $M$  parametrized by arclength with  $\alpha'' \neq 0$

- $k_n = II(\alpha', \alpha') = \alpha'' \cdot N = \pm k$  is the normal curvature (curvature in a direction  $v \in T_p M$ ) of  $\alpha$ 
  - Curvature of the curve that comes from the curvature of the surface
- Meusnier theorem: all curves on a given surface having at a given point  $p$  the same tangent line, have same normal curvature at  $p$ 
  - $k_n$  is determined by the surface and does not depend on the curve
  - $k_n = II(\alpha', \alpha') = \alpha'' \cdot N = k \cdot N = k \cos \theta$  ( $\theta$  is the angle between the curve normal and surface normal)
- Consider a curve which a normal section of the surface intersects at  $p$  (a slice of  $M$  with a plane  $\pi$  through  $p$  parallel to the normal  $N$  to  $M$  at  $p$ )
  - The curve of intersection is the normal section



- Then such a curve is a plane curve through  $p$  with  $n(p) = \pm N(p)$ ,  $k(p) = |k_n(p)| = |II(v, v)|$ 
  - For a plane, all normal sections are straight lines, thus, normal curvatures are 0 ( $II = 0$ )
  - For spheres with inward normal, normal sections are great circles through  $p$  (plane curves of radius 1),  $k_n = II(w, w) = I(w, w) = 1$
  - For cylinder, normal sections vary from a circle ( $k_n = 1$ ) to a straight line ( $k_n = 0$ )
- The minimum normal curvature at  $p$ ,  $k_1(p)$  and maximum  $k_2(p)$  are called the principal curvatures of  $M$  at  $p$ , the corresponding directions  $e_1, e_2$  are called the principal directions
  - $e_1, e_2$  are critical points of the function  $w \rightarrow II(w, w)$  over all  $w \in T_p M$  with  $|w| = 1$
- Let  $w \in T_p M$  with  $|w| = 1$ . Then  $w$  is a principal direction if and only if  $w$  is an eigen vector of the shape operator  $S_p: T_p M \rightarrow T_p M$ . The associated eigen values are the principal curvatures

Some side notes of linear algebra

- $V$ :  $n$ -dimensional vector space with inner product  $\langle \cdot, \cdot \rangle$
- $A: V \rightarrow V$  linear transformation, self-adjoint,  $\langle Av, w \rangle = \langle v, Aw \rangle \forall v, w \in V$
- $B: V \times V \rightarrow \mathbb{R}$  associated symmetric bilinear form  $B(v, w) = \langle Av, w \rangle$
- Spectral theorem: there exists orthonormal basis  $e_1, e_2, \dots, e_n$  of  $V$  such that  $Ae_1 = \lambda_1 e_1$ , and  $e_1, \dots, e_n$  are critical points of  $v \rightarrow B(v, v)$  overall unit vectors  $v$ ,  $\lambda_i = B(e_i, e_i)$  is the eigen value



### Principal curvatures and directions

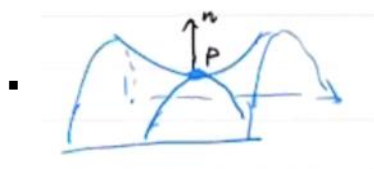
- **Principal curvatures:**  $k_1, k_2$  are min and max curvatures, i.e.  $v \rightarrow II(v, v), |v| = 1$
- **Principal directions:**  $e_1, e_2$  are corresponding directions
  - Critical points of  $v \rightarrow II_p(v, v)$  over all unit vectors  $v \in T_p M$
  - The principal directions are eigen vectors of  $S_p: T_p M \rightarrow T_p M$
- Remark: either  $k_1 = k_2$  and every direction is a principal direction or there exist exactly two (up to sign) principal directions orthogonal to each other
  - $k(p) = 0$  gives  $k_n(p) = 0$
  - $k = |\alpha''| \geq \alpha'' \cdot n = k_n$  (normal curvature)
  - $\alpha'' = (\alpha'')^T + (\alpha'')^\perp$ 
    - $(\alpha'')^T$  is the geodesic curvature
    - $(\alpha'')^\perp$  is the normal curvature
- Remark: given  $w \in T_p M, |w| = 1, w = \cos \theta e_1 + \sin \theta e_2$ , we have  $II_p(w, w) = k_1 \cos^2 \theta + k_2 \sin^2 \theta$  (Euler's formula)

### Gauss and mean curvature

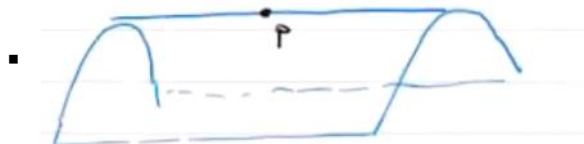
- **Gauss curvature:**  $K(p) = k_1(p)k_2(p) = \det S_p = \begin{pmatrix} k_1 & 0 \\ 0 & k_2 \end{pmatrix}$
- **Mean curvature:**  $H(p) = \frac{1}{2}(k_1(p) + k_2(p))$
- They are independent of the basis
- the signs of  $k_1, k_2$  changes if we change the orientation of  $M$ 
  - $K$  does not change,  $H$  changes sign
- A point  $p$  on a surface is called
  - **Elliptic** if  $K(p) > 0$  ( $k_1, k_2$  have the same sign)



- **Hyperbolic** if  $K(p) < 0$  ( $k_1, k_2$  have the opposite sign)



- **Parabolic** if  $K(p) = 0$  ( $k_1 k_2 = 0$ , one is zero, the other is non-zero)



- **Planar** if  $k_1 = k_2 = 0$
- **Umbilic** if  $k_1 = k_2 \neq 0$
- Expressions: given matrix of  $S_p: T_p M \rightarrow T_p M$  relative to basis  $\{f_u, f_v\}$ ,
  - $S_p = I^{-1}II = \begin{pmatrix} E & F \\ F & G \end{pmatrix}^{-1} \begin{pmatrix} l & m \\ m & n \end{pmatrix}$
  - Then  $K(p) = \det(S_p)$  and  $H(p) = \frac{1}{2} \text{tr} S_p = \frac{1}{2}(a + d)$ 
    - $K(p) = \frac{\det II}{\det I} = \frac{ln - m^2}{EG - F^2}$
    - Tr means the trace
  - The principal curvatures can be found by  $\det(S - kI) = 0$ 
    - This gives that  $k^2 - 2Hk + K = 0$
  - If **S is diagonal**,  $f_t, f_\theta$  are principal directions, the diagonal are the principal curvatures

- Note, if  $S_p = \begin{pmatrix} a & c \\ b & d \end{pmatrix}$ :
  - $n_u = -(af_u + bf_v)$ .
  - $n_v = -(cf_u + df_v)$ ,

A regular curve  $\alpha: I \rightarrow M$  is called a **line of curvature** if  $\frac{\alpha'(t)}{|\alpha'(t)|}$  is a principal direction for all  $t \in I$  i.e.

$S(\alpha') = k\alpha'$ ,  $\alpha'$  is an eigen vector of the shape operator

- For a surface of revolution,  $f$  is line of curvature parametrization (t-curves,  $\theta$ -curves are lines of curvature)
- $n' = \lambda\alpha'$  for the line of curvature, where  $n$  is the surface normal.

Surface of revolution of constant Gauss curvature

- Assume  $t$  is the arclength parameter of the curve  $\alpha(t) = (r(t), 0, h(t))$
- Constant Gauss curvature gives that  $r'' + k_0 r = 0$
- When  $k_0 = 0$ ,  $r(t) = at + b$ ,  $h(t) = \pm\sqrt{1 - a^2}t + c$ 
  - $a = 0$ ,  $\alpha(t)$  is a straight line, the surface is a cylinder
  - $a = 1$ ,  $\alpha(t)$  is a straight line, the surface is a plane
  - $a \in (0,1)$ , surface is a cone
- $k_0 > 0$ , sphere, elliptic integrals, oblate sphere

Def:  $V \in T_p S$  is an **asymptotic direction** if the normal curvature in the direction  $V$  is zero.  $II_p(V, V) = 0$ .

A regular curve  $\alpha$  in  $M$  is an **asymptotic curve** if  $\alpha'(t)$  is an asymptotic direction for all  $t$

- At an elliptic point  $p$ ,  $K_p > 0$ ,  $k_1$  and  $k_2$  have the same sign and are nonzero, there is no asymptotic direction

A **ruled surface** is a surface that can be parametrized as  $f(s, t) = \alpha(t) + sX(t)$  where  $X(t)$  is a vector field along  $\alpha(t)$

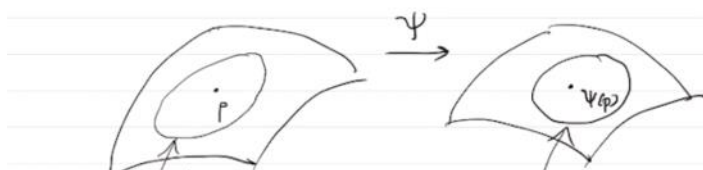
- The line  $t = \text{const}$  are called generators
- A curve  $s = \text{const}$  is called a directrix
- Examples: plane, cylinder, helicoid, cone, hyperboloid of one sheet
- Any ruled surface has Gauss curvature  $K \leq 0$
- A ruled surface is **developable** if the Gauss map  $n$  is constant along generators
  - Examples: plane, cone, cylinder
  - A ruled surface is developable  $\Leftrightarrow \frac{dn}{ds} = 0 \Leftrightarrow K = 0$
  - $S(V) = -n'$ .

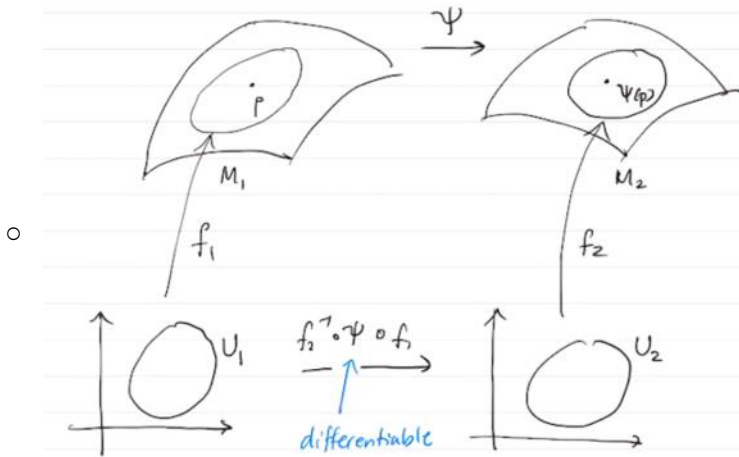
A surface with mean curvature  $H = 0$  is called a **minimal surface**

- Helicoid, catenoid, plane
- Note  $H = 0 \Leftrightarrow k_1 = -k_2 \Leftrightarrow K = -k^2 \leq 0$  (every point is hyperbolic)
- It minimizes area locally
- Minimal surfaces are **critical points of the area function**
- Let  $f: U \subset \mathbb{R}^2 \rightarrow \mathbb{R}^3$  be a surface with boundary curve  $C$ ,  $f_t(u, v) = f(u, v) + th(u, v)n(u, v)$ 
  - Here  $th(u, v)$  is a differentiable function that vanishes on the boundary

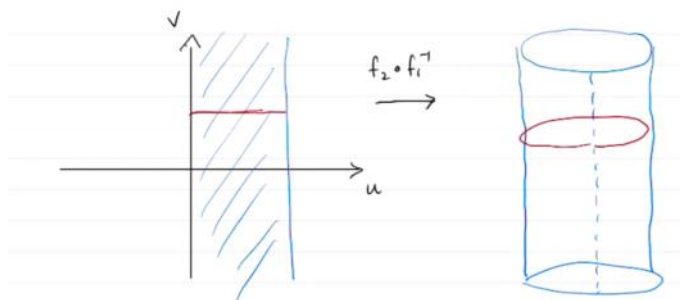
Intrinsic geometry of surfaces

- A property of a surface is **intrinsic** if it depends only on the first fundamental form
- Def: let  $M_1, M_2$  be surfaces. An **isometry** from  $M_1$  to  $M_2$  is a one-to-one, onto, differentiable map  $\psi: M_1 \rightarrow M_2$  such that for any curve  $\alpha: [a, b] \rightarrow M_1$ , the length of  $\alpha$  equals the length of  $\psi \circ \alpha$ 
  - Remark:  $\psi: M_1 \rightarrow M_2$  is **differentiable** if for each  $p \in M_1$ , there are parametrizations  $f_1: U_1 \rightarrow M_1$  about  $p$  and  $f_2: U_2 \rightarrow M_2$  about  $\psi(p)$  such that  $f_2^{-1} \circ \psi \circ f_1: U_1 \rightarrow U_2$  is differentiable as a function of 2 variables





- $M_1$  and  $M_2$  are **isometric** if there is an isometry  $\psi: M_1 \rightarrow M_2$ 
  - $\alpha'(t)$  and  $(\psi \circ \alpha)'(t)$  have the same length, arc length are the same
  - We define distance between points  $p, q \in M$  by  $d_M(p, q) = \inf\{L(\alpha): \alpha \text{ is a curve in } M \text{ between } p \text{ and } q\}$ .
- $M_1$  and  $M_2$  are **locally isometric** if for each point  $p \in M$ , there are open sets  $V_1$  about  $p$  and  $V_2$  about  $\psi(p)$  and an isometry  $\psi: V_1 \rightarrow V_2$ 
  - Suppose  $f_1: U \rightarrow M_1, f_2: U \rightarrow M_2$  are parametrizations such that  $E_1 = E_2, F_1 = F_2, G_1 = G_2$ , then  $\psi = f_2 \circ f_1^{-1}$  is a local isometry



Ex: Cone is locally isometric to plane



- Helicoid and catenoid are locally isometric
- Note: isometry cannot be extended to global isometry (Cylinder is not homeomorphic to plane)
- A one-to-one, onto, differentiable map  $\psi: M_1 \rightarrow M_2$  is **conformal** if for any  $p \in M_1$  and any curves  $\alpha$  and  $\beta$  with  $\alpha(0) = \beta(0) = p$ , we have  $(\psi \circ \alpha)'(0) \cdot (\psi \circ \beta)'(0) = \lambda^2 \alpha'(0) \cdot \beta'(0)$  where  $\lambda$  is differentiable and  $\lambda \neq 0$ 
  - Conformal maps preserve angles, but length might be stretched
  - Suppose  $f_1: U \rightarrow M_1, f_2: U \rightarrow M_2$  are parametrizations such that  $E_1 = \lambda^2 E_2, F_1 = \lambda^2 F_2, G_1 = \lambda^2 G_2, \lambda \neq 0$  is a differentiable function. Then  $\psi = f_2 \circ f_1^{-1}$  is a **local conformal map**
- Given a regular surface  $M$  and  $p \in M$ , there is a local parametrization of  $M$  near  $p$  such that  $E = G = \lambda^2$  and  $F = 0, \lambda$  nowhere zero and everywhere differentiable
  - **Any surface is locally conformal to the plane**
  - Any two regular surfaces are locally conformal

Codazzi and Gauss equations:

- Known the first and second fundamental form, we want to find the parametrization
- Start with writing derivatives of  $\{f_u, f_v, \mathbf{n}\}$  in terms of  $\{f_u, f_v, \mathbf{n}\}$

- Simple for  $\mathbf{n}$ , can just use the shape operator
- $f_{uu} = \Gamma_{uu}^u f_u + \Gamma_{uu}^v f_v + l\mathbf{n}$ .
- $f_{uv} = \Gamma_{uv}^u f_u + \Gamma_{uv}^v f_v + m\mathbf{n}$ .
- $f_{vu} = \Gamma_{vu}^u f_u + \Gamma_{vu}^v f_v + m\mathbf{n}$ . ( $f_{uv} = f_{vu}$ )
- $f_{vv} = \Gamma_{vv}^u f_u + \Gamma_{vv}^v f_v + n\mathbf{n}$ .
- $\Gamma_{**}^*$  are called the **Christoffel symbols**
- In matrix forms:

$$\begin{aligned} \square \begin{pmatrix} f_u \\ f_v \\ n \end{pmatrix}_u &= \begin{pmatrix} \Gamma_{uu}^u & \Gamma_{uu}^v & l \\ \Gamma_{vu}^u & \Gamma_{vu}^v & m \\ -a & -b & 0 \end{pmatrix} \begin{pmatrix} f_u \\ f_v \\ n \end{pmatrix} \\ \square \begin{pmatrix} f_u \\ f_v \\ n \end{pmatrix}_v &= \begin{pmatrix} \Gamma_{uv}^u & \Gamma_{uv}^v & m \\ \Gamma_{vv}^u & \Gamma_{vv}^v & n \\ -c & -d & 0 \end{pmatrix} \begin{pmatrix} f_u \\ f_v \\ n \end{pmatrix} \end{aligned}$$

- Do dot product with  $f_u, f_v$ , then we can find expressions for the Christoffel symbols
  - $\begin{pmatrix} \Gamma_{uu}^u \\ \Gamma_{uu}^v \end{pmatrix} = \begin{pmatrix} E & F \\ F & G \end{pmatrix}^{-1} \begin{pmatrix} \frac{1}{2}E_u \\ F_u - \frac{1}{2}E_v \end{pmatrix}$ .
  - $\begin{pmatrix} \Gamma_{uv}^u \\ \Gamma_{uv}^v \end{pmatrix} = \begin{pmatrix} E & F \\ F & G \end{pmatrix}^{-1} \begin{pmatrix} \frac{1}{2}E_v \\ \frac{1}{2}G_u \end{pmatrix}$ .
  - $\begin{pmatrix} \Gamma_{vv}^u \\ \Gamma_{vv}^v \end{pmatrix} = \begin{pmatrix} E & F \\ F & G \end{pmatrix}^{-1} \begin{pmatrix} F_v - \frac{1}{2}G_u \\ \frac{1}{2}G_v \end{pmatrix}$ .
- So, given  $E, F, G, l, m, n$ , we can solve for  $f_u, f_v, n$  and then  $f$ . But these are PDEs, and have solutions only if certain compatibility conditions are satisfied
  - **Integrability conditions:**  $f_{uuv} = f_{uvu}, f_{vuv} = f_{vuv}, n_{uv} = n_{vu}$ .
- Codazzi-Mainardi Equations
  - $l_v - m_u = l\Gamma_{uv}^u + m(\Gamma_{uv}^v - \Gamma_{uu}^u) - n\Gamma_{uu}^v$ .
  - $m_v - n_u = l\Gamma_{vv}^u + m(\Gamma_{vv}^v - \Gamma_{uv}^u) - n\Gamma_{uv}^v$ .

### Theorema Egregium of Gauss

- The Gauss curvature of a surface is determined by the first fundamental form. That is,  $K$  can be computed from just  $E, F$ , and  $G$  and their first and second partial derivatives.
  - **Gauss curvature is intrinsic**
- Formula:
  - $EK = (\Gamma_{uu}^v)_v - (\Gamma_{uv}^v)_u + \Gamma_{uu}^u \Gamma_{uv}^v + \Gamma_{uu}^v \Gamma_{vv}^v - \Gamma_{uu}^v \Gamma_{uv}^u - (\Gamma_{uv}^v)^2$ . The other ones  $FK, GK$  are equivalent
  - If  $F = 0$ , then  $K = -\frac{1}{2\sqrt{EG}} \left( \left( \frac{E_v}{\sqrt{EG}} \right)_v + \left( \frac{G_u}{\sqrt{EG}} \right)_u \right)$

### Fundamental theorem of surfaces

- Suppose  $E, F, G, l, m, n$  are differentiable functions on an open set  $U \subset \mathbb{R}^2$  with  $E > 0, G > 0, EG - F^2 > 0$  and satisfy the Gauss and Codazzi equations
- Then  $\forall q \in U$ , there is an open set  $U' \subset U, q \in U'$  and parametrized surface  $f: U' \rightarrow \mathbb{R}^3$  that has  $E, F, G, l, m, n$  as its first and second fundamental forms
- Moreover,  $f$  is unique up to isometries in  $\mathbb{R}^3$
- **Uniqueness:** let  $f: U \subset \mathbb{R}^2 \rightarrow \mathbb{R}^3$  parametrized surface
  - $\psi: \mathbb{R}^3 \rightarrow \mathbb{R}^3$  isometry  $\Rightarrow f$  and  $\psi \circ f$  have the same first and second fundamental form
  - $f, \bar{f}$  have same first and second fundamental form  $\Rightarrow \bar{f} = \psi \circ f, \psi$  isometry of  $\mathbb{R}^3$
  - Note the second fundamental form can have different signs

### Vector fields

- A function  $X: M \rightarrow \mathbb{R}^3$  is **tangent vector field** if
  - $X(p) \in T_p M$  for all  $p \in M$

- $X$  is differentiable (for any parametrization  $f: U \rightarrow \mathbb{R}^3$ ,  $X \circ f$  is differentiable)
- Given a vector field  $X$ , we can differentiate  $X$ 
  - If  $v \in T_p M$ ,  $(D_v X)(p) = (X \circ \alpha)'(0)$  where  $\alpha$  is a curve in  $M$  with  $\alpha(0) = p$ ,  $\alpha'(0) = v$ .

### Covariant derivative

- $\nabla_v X = (D_v X)^T$  is the orthogonal projection of  $D_v X$  onto  $T_p M$
- If  $f: U \rightarrow M$  is a parametrized surface
  - $\nabla_{f_u} f_u = (f_{uu})^T = \Gamma_{uu}^u f_u + \Gamma_{uu}^v f_v$ .
  - $\nabla_{f_v} f_u = (f_{uv})^T = \Gamma_{uv}^u f_u + \Gamma_{uv}^v f_v$ .
  - $\nabla_{f_v} f_v = (f_{vv})^T = \Gamma_{vv}^u f_u + \Gamma_{vv}^v f_v$ .
- Covariant differentiation is intrinsic
- $D_v X = 0$  if  $X$  is a constant vector field

### Parallel vector field

- Given a curve  $\alpha$  in  $M$ , we say a vector field is parallel along  $\alpha$  if  $\nabla_{\alpha'} X = 0$ 
  - $(X \circ \alpha)'(t)$  is a multiple of the normal vector  $n(\alpha(t))$
  - Note that if  $\alpha(t) = (u(t), v(t))$ , then  $X(\alpha(t)) = f(u(t), v(t))$
- Let  $\alpha: I \rightarrow M$  be a curve. Given  $t_0 \in I$  and  $X_0 \in T_{\alpha(t_0)} M$ , there exists a unique parallel vector field  $X$  along  $\alpha$  with  $X(t_0) = X_0$ 
  - $X(\alpha(t))$  is called the **parallel translation** of  $X_0$  along  $\alpha$
  - If  $X(\alpha(t)) = a(t)f_u + b(t)f_v$ , we can solve  $a, b$  by the system of ODEs
    - $a' + (u'\Gamma_{uu}^u + v'\Gamma_{uv}^u)a + (u'\Gamma_{uv}^v + v'\Gamma_{vv}^u)b = 0$ .
    - $b' + (u'\Gamma_{uv}^u + v'\Gamma_{vv}^u)a + (u'\Gamma_{uv}^v + v'\Gamma_{vv}^v)b = 0$ .
- Remark: If  $X$  and  $Y$  are parallel vector fields along  $\alpha$ , then  $X(\alpha(t)) \cdot Y(\alpha(t))$  is a constant
  - A parallel vector field must have constant length and the angle between parallel vector fields remain constant
- If  $M$  and  $\bar{M}$  are tangent along a curve  $\alpha$ , and  $X$  is a vector field along  $\alpha$ , then the covariant derivative of  $X$  is the same for both surfaces
  - $X$  is parallel along  $\alpha$  in  $M \Leftrightarrow X$  is parallel along  $\alpha$  in  $\bar{M}$
- Parallel vector field does not usually exist globally

### Geodesics (analog of straight line in a surface)

- The unit tangents are parallel (never changes direction)
- A parametrized curve  $\alpha$  in a surface  $M$  is a **geodesic** if  $\nabla_{\alpha'} \alpha' = (D_{\alpha'} \alpha')^T = (\alpha'')^T = 0$ 
  - $\alpha'$  is parallel along  $\alpha$
  - $\alpha''$  is orthogonal to  $M$
- Remark:  $\alpha$  is a geodesic, then  $\alpha$  is parametrized proportional to arclength
- An unparametrized curve  $C$  is said to be a geodesic if its arclength parametrization is a geodesic
  - Great circles on sphere are geodesic
  - Plane lines are geodesic
- Let  $\alpha: I \rightarrow M$  be a curve parametrized by arclength,
  - we know that we can decompose  $\alpha'' = (\alpha'')^T + (\alpha'')^\perp = (\alpha'' \cdot (n \times T))n \times T + (\alpha'' \cdot n)n$ 
    - $(\alpha'')^T$  is the **geodesic curvature**
      - call  $\{T, n \times T, n\}$  the Darboux frame
      - $(\alpha'')^T$  is always in the  $n \times T$  direction
      - Define the geodesic curvature  $k_g = \alpha'' \cdot (n \times T)$
    - $(\alpha'')^\perp = n \cdot \alpha'' = II(\alpha', \alpha')n$  is the normal curvature
    - Note that  $k^2 = k_g^2 + k_n^2$ , since the two basis are orthogonal
- **Existence of geodesics**: given a point  $p \in M$  and  $V \in T_p M$ , there exists  $\epsilon > 0$  and a unique geodesic  $\alpha: (-\epsilon, \epsilon) \rightarrow M$  with  $\alpha(0) = p$ ,  $\alpha'(0) = V$ 
  - i.e. there is a unique geodesic through any given point of a surface in any given direction
- System of ODEs to solve for geodesic:
  - Let  $\alpha(t) = f(u(t), v(t))$ ,  $\alpha' = u'f_u + v'f_v$

- $u'' + \Gamma_{uu}^u (u')^2 + 2\Gamma_{uv}^u u'v' + \Gamma_{vv}^u (v')^2 = 0.$
- $v'' + \Gamma_{uu}^v (u')^2 + 2\Gamma_{uv}^v u'v' + \Gamma_{vv}^v (v')^2 = 0.$
- Isometry maps geodesic to geodesic
- A short enough piece of geodesic is the curve of length between its endpoints
- For a surface of revolution, **meridians are always geodesics**
  - Parallels are geodesic if and only if  $r'(t_0) = 0.$
  - $\alpha(s)$  is a geodesic if and only if  $r(t(s)) \cos \phi(s) = \text{const.}$  (**Clairaut's relation**) where  $\phi(s)$  is the angle between  $\alpha'(s)$  and the parallel
    - Geodesics that is not meridians intersects itself infinitely many times

# Further topics

January 12, 2021 1:56 PM

## Gauss Bonnet Theorem (simple case)

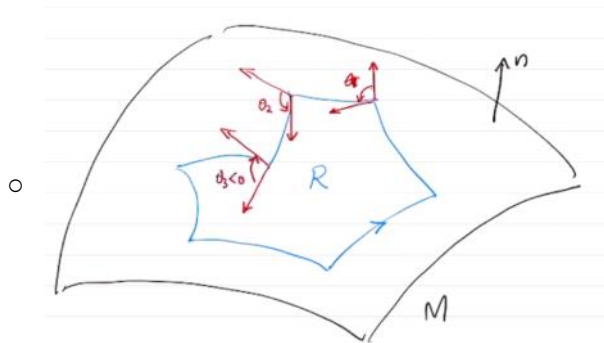
- A simple closed regular curve bounds a simply-connected region  $R$ , then we have:

$$\iint_R K dA + \int_{\partial R} k_g ds = 2\pi$$

- $k_g$  is the geodesic curvature
- $K$  is the Gauss curvature
- $dA = \sqrt{EG - F^2} dudv$  is the area element

## Local Gauss Bonnet Theorem

- Suppose  $R$  is a simply-connected region with piecewise regular boundary lying in an orthogonal parametrization ( $F=0$ )
- If  $C = \partial R$  has exterior angles  $\theta_j, j = 1, \dots, n$ , then  $\iint_R K dA + \int_{\partial R} k_g ds + \sum_{i=1}^n \theta_i = 2\pi$



- $\theta_i$  is the oriented angle between  $\alpha'(t_i^-)$  and  $\alpha'(t_i^+)$
- Geodesic  $n - gon$ : assume  $\alpha|_{(s_i, s_{i+1})}$  is geodesic, then  $\iint_R K dA = 2\pi - \sum_{i=1}^n \theta_i$ 
  - $n - gon$  is a polygon of  $n$  sides
  - Geodesic  $n - gon$  is an  $n - gon$  with all sides being geodesics
  - Exterior angle  $= \theta_i$ , then interior angle  $\beta_i = \pi - \theta_i$
  - So for a geodesic triangle,  $\iint_R K dA = \beta_1 + \beta_2 + \beta_3 - \pi$ 
    - $K > 0$  means sum of interior angles is greater than  $\pi$
    - $K = 0$ , sum of interior angles is equal to  $\pi$
    - $K < 0$ , sum of interior angles is less than  $\pi$
  - If  $K \leq 0$  everywhere, then geodesic 2-gons do not exist

## Triangulation

- The link between local and global result is provided by triangulations
- Let  $M$  be a regular surface, a closed bounded subset  $R \subset M$  is regular, if  $\partial R$  is the union of simple closed piecewise regular curves that don't intersect
- $T \subset M$  is a triangle if  $T$  is homeomorphic to a disk and  $\partial T$  has 3 vertices
- A triangulation of a regular region  $R \subset M$  is a finite collection of triangles  $\{T_1, T_2, \dots, T_n\}$  such that
  - $\cup_{i=1}^n T_i = R$ .
  - If  $T_i \cap T_j \neq \emptyset$ , then  $T_i \cap T_j$  is either a common edge or a common vertex
  - Every regular region  $R$  in a regular surface  $M$  admits a triangulation

The Euler characteristic of a triangulation  $R$  is  $\chi = F - E + V$

- $F$  is the number of faces
- $E$  is the number of edges
- $V$  is the number of vertices

- The Euler characteristic does not depend on the triangulation (**topological invariant**)
- E.g.
  - Disk  $\chi = 1$
  - sphere  $\chi = 2$
  - Torus  $\chi = 0$
  - Two torus  $\chi = -2$
  - N-torus  $\chi = -2(n - 1)$
- Properties
  - Every regular region  $R$  of a surface  $M$  admits a triangulation
  - The Euler characteristic doesn't depend on the triangulation
- The Euler characteristic allows a **topological classification** of surfaces in  $\mathbb{R}^3$
- $M \subset \mathbb{R}^3$  compact, connected surface without boundary, then  $\chi(M) \in \{2, 0, -2, \dots, -2(n - 1)\}$
- **$\chi(M_1) = \chi(M_2)$  if and only if  $M_1$  is homeomorphic to  $M_2$**  (there is a bijection from  $M_1$  to  $M_2$ )
  - Every compact connected  $M \subset \mathbb{R}^3$  without boundary is homeomorphic to a sphere with a certain number  $g$  of handles attached  $\chi(M) = -2(g - 1)$ ,  $g = \frac{2 - \chi(M)}{2}$  is called **genus**

#### Global Gauss-Bonnet theorem

- Let  $R \subset M$  be a regular region of an oriented surface,  $\partial R$  consists of closed piecewise regular simple curve (given the positive orientation),  $\{\theta_1, \dots, \theta_l\}$  the set of exterior angles of the boundary curve, then  $\iint_R K dA + \int_{\partial R} k_g ds + \sum_{i=1}^n \theta_i = 2\pi\chi(R)$
- If  $M$  is compact orientable surface without boundary, then  $\iint_R K dA = 2\pi\chi(R)$
- Consequences:
  - $\chi(M)$  is independent of the choice of triangulation
  - Since  $\chi(M)$  is an integer,  $\frac{1}{2\pi} \iint_R K dA$  is an integer
  - Gauss-Bonnet theorem asserts the equality of two very differently defined properties
    - Integral of the Gauss curvature (determined by local geometry)
    - Global topological invariant
- A compact surface without boundary of positive curvature is homeomorphic to the sphere
- Define orthonormal vectors,  $e_1 = \frac{f_u}{\sqrt{E}}$ ,  $e_2 = \frac{f_v}{\sqrt{G}}$ , then  $\phi_{12} = (\nabla_{\alpha'} e_1) \cdot e_2$ 
  - $\phi_{12} = \frac{1}{2\sqrt{EG}} (-E_v u' + G_u v') + \theta'$ . It measures the rate at which  $e_1$  is turning
  - $k_g = \phi_{12} + \theta'$  where  $\theta$  measures the turning of unit tangent  $\alpha'$  relative to  $e_1$ .